



AAU & APLU

Public Access Working Group

Tobin Smith
Vice President for Policy
Assoc. of American Universities

COGR Meeting
February 23, 2017

Motivation

- February 2013 OSTP memo
- Discussions with libraries and publishers
- Concerns over public access compliance
- Discussion surrounding SHARE
- Growing questions about who will maintain and pay for repositories
- *Elephant in the room:* Data!

Charge

- **Map the space**
 - Who is doing what: fed. govt., universities, for-profit publishers, scientific societies, non-profits. Existing repositories, tools, and guidelines. What are the current position of other org. and the fed. agencies.
- **Frame the issues**
 - Identify opportunities and challenges to AAU/APLU institutions.
- **Develop principles and guidance**
 - ID best practices; develop minimum standards/requirements; help build consensus; for both universities and government.
- **Provide ongoing advice, direction & leadership**
 - Help guide AAU/APLU policy and legislative positions; engage federal agencies and policy-makers; engage institutional leaders.

Members

Provosts:

- [Lisa Lynch](#), *Brandeis (co-chair)*
- [Farnam Jahanian](#), Carnegie Mellon
- [Susan R. Wente](#), Vanderbilt
- [Kate Miller](#), University of Wyoming
- [Debasish \(Daba\) Dutta](#), Purdue

Senior Research Officers

- [Sarah Nusser](#), *Iowa State Univ (co-chair)*
- [Sandra Brown](#), UCSD
- [Mark McClellan](#), Utah State
- [Peter Schiffer](#), University of Illinois
- [Dan Reed](#), University of Iowa

CIOs

- [Brad Wheeler](#), University of Indiana
- [Dave Lifka](#), Cornell University

Library Representatives:

- [James Hilton](#), University of Michigan
- [Tyler Walters](#), Virginia Tech

Compliance Officers:

- [Jeff Chasen](#), University of Kansas
- [James Luther](#), Duke

STAFF

- Tobin Smith & Jessica Sebeok, AAU
- Kacy Redd, APLU
- Jackie Bendall, COGR Liaison

First Call

- Dec. 12, 2016
- Key Issues Identified:
 - Publications (e.g. compliance; harmonization; costs)
 - Data (e.g. what data with what documentation; costs; compliance; achieving faculty buy-in; harmonization)
 - Sustainability of publishing models (e.g. subscription v. author pays)
 - Data repositories – who will establish and maintain them? Who owns the data?
 - Concern about agency coordination of public access policies

Workshop

- Dates: April 11-12, 2017
- Panels to focus on:
 - Publishers perspectives (for-profit & non-profit)
 - Library/Open access perspectives
 - Data repositories & data management tools
 - Best practices & model policies
 - Federal agency perspectives

Outlook

- Push for open/public access not going away
- Don't want to be or appear obstructionist
- Need to work to minimize compliance burdens
- Need consistent guidelines and govt. policies
- Need to examine new business models to support associated costs
- Need to ensure quality, not just access
- Will there be changes in what is expected under the new administration? *...Stay tuned!*